Documents to bring to your next meeting

So that we can complete our understanding of your financial situation, it is essential that you provide the following documents for our next meeting.

Names and Birth Date(s) for

- ☐ Children and Dependents

Retirement Planning

- ☐ Retirement Pension Plan Statements
- ☐ Employer's Qualified Retirement Plan (401(k), etc.)
- ☐ Individual Retirement Accounts (IRAs) Statements
- ☐ Statements from non-qualified Retirement Investments
- ☐ Social Security Benefits Statement

Investment Strategies

- ☐ Brokerage Account Statements
- ☐ Mutual Fund Statements
- ☐ Statement from Trust Companies
- ☐ Bank Statements pertaining to investments
- ☐ Stock and/or Bond Certificates
- ☐ Annuity Contract Statements
- ☐ Stock Option Statements
- ☐ 529 Plan Statements
- ☐ Education IRA Statements
- ☐ UTMA/UGMA Statements
- ☐ Other (specify):

Cash Flow Planning

- ☐ Mortgage Statements
- ☐ Statements for Saving Accounts
- ☐ Statements for Checking Accounts
- ☐ Statement of Loan and/or Line of Credit

Tax Planning

- ☐ Tax Returns from the previous 2 years
- ☐ Information on Holding Companies or Limited Partnerships

Your Family's Security

- ☐ Health Insurance Policies
- ☐ Life Insurance Policies
- ☐ Disability Insurance Information
- ☐ Extended Care/Long Term Care Policy

Estate Planning - Personal

- ☐ A current copy of your Will
- ☐ A copy of your Power of Attorney
- ☐ A copy of Durable Power of Attorney
- ☐ Trust information

Estate Planning - Business

- ☐ Buy-Sell Agreements

Names and Contact Information for

- ☐ Tax Specialist or Accountant
- ☐ Lawyer/Attorney
- ☐ Insurance Advisor
- ☐ Securities Broker

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