

## Documents to bring to your next meeting

So that we can complete our understanding of your financial situation, it is essential that you provide the following documents for our next meeting.

### Names and Birth Date(s) for

- Children and Dependents

### Retirement Planning

- Retirement Pension Plan Statements
- Employer's Qualified Retirement Plan (401(k), etc.)
- Individual Retirement Accounts (IRAs) Statements
- Statements from non-qualified Retirement Investments
- Social Security Benefits Statement

### Investment Strategies

- Brokerage Account Statements
- Mutual Fund Statements
- Statement from Trust Companies
- Bank Statements pertaining to investments
- Stock and/or Bond Certificates
- Annuity Contract Statements
- Stock Option Statements
- 529 Plan Statements
- Education IRA Statements
- UTMA/UGMA Statements
- Other (specify):

### Cash Flow Planning

- Mortgage Statements
- Statements for Saving Accounts
- Statements for Checking Accounts
- Statement of Loan and/or Line of Credit

### Tax Planning

- Tax Returns from the previous 2 years
- Information on Holding Companies or Limited Partnerships

### Your Family's Security

- Health Insurance Policies
- Life Insurance Policies
- Disability Insurance Information
- Extended Care/Long Term Care Policy

### Estate Planning - Personal

- A current copy of your Will
- A copy of your Power of Attorney
- A copy of Durable Power of Attorney
- Trust information

### Estate Planning - Business

- Buy-Sell Agreements

### Names and Contact Information for

- Tax Specialist or Accountant
- Lawyer/Attorney
- Insurance Advisor
- Securities Broker

**Sylvia Guinan, MBA, CDFA®**  
**Senior Financial Advisor, Senior Vice President – Investment Officer**  
Office: 860-572-7011 | Direct: 860-362-1117 | Toll-free: 800-677-7011  
CA Insurance License Number: 0E93991. Resident State CT.

**Connecticut:** 86 Denison Ave, Mystic, CT 06355  
**Connecticut:** 450 Post Road East, Westport, CT 06880  
**California:** 520 Newport Ctr Dr, Suite 1700, Newport Beach, CA 92660  
[sylvia.guinan@wfadvisors.com](mailto:sylvia.guinan@wfadvisors.com) | [www.sylviaguinan.wfadv.com](http://www.sylviaguinan.wfadv.com)

The use of the CDFA® designation does not permit Wells Fargo Advisors or its Financial Advisors to provide legal advice, nor is it meant to imply that the firm or its associates are acting as experts in this field.

**Investment and Insurance Products: NOT FDIC Insured / NO Bank Guarantee / MAY Lose Value**

Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC, Member SIPC, a registered broker – dealer and non-bank affiliate of Wells Fargo & Company. ©2025 Wells Fargo Clearing Services, LLC. All Rights reserved. PM- 05122027-8596654.1.1